

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Kaisa KAUTTO-KOIVULA, *et al.*

Group Art Unit: 2173

Serial No.: 10/028,032

Examiner: Cao H. Nguyen

Filed: December 20, 2001

For: METHOD, SYSTEM AND APPARATUS FOR CONSTRUCTING FULLY  
PERSONALIZED AND CONTEXTUALIZED INTERACTION ENVIRONMENT  
FOR TERMINALS IN MOBILE USE

**TRANSMITTAL OF RELATED PENDING APPLICATIONS 37 C.F.R. §1.98(a)2(iii)**

Mail Stop **AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

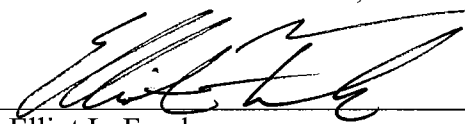
Transmitted herewith is a Related Case Submission listing related pending applications of the above-identified application, pursuant to 37 C.F.R. §1.98(a)2(iii).

- ☒ A copy of the cited pending application is attached.
- ☐ A copy of each related application(s) was submitted in parent application serial no. \_\_\_\_\_, filed \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4208-4030. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: June 21, 2007

By:



Elliot L. Frank

Registration No. 56,641

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New York, NY 10281-2101

# RELATED CASE SUBMISSION

Attorney Docket:  
4208-4030

Serial No.:  
10/028,032

Applicant:  
Kaisa KAUTTO-KOIVULA, *et al.*

Filing Date:  
December 20, 2001

Group Art Unit:  
2173

## RELATED PENDING APPLICATIONS

Examiner Initial		Serial No.	Filing Date	Inventor(s)	Patent No. (if applicable)	Issue Date (if applicable)
	1	10/739,600	December 17, 2003	KAUTTO-KIOVULA, <i>et al.</i>		
	2					
	3					
	4					
	5					
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	18					
	19					
	20					
Examiner			Date Considered			

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 10/028,032 Confirmation No.: 2939

Applicant(s): Kaisa KAUTTO-KOIVULA, *et al.* Group Art Unit: 2173  
Examiner: Cao H. NGUYEN

Filed: December 20, 2001

Customer No.: 27123

For: METHOD, SYSTEM AND APPARATUS FOR CONSTRUCTING FULLY  
PERSONALIZED AND CONTEXTUALIZED USER INTERFACES FOR TERMINALS IN  
MOBILE USE

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop **AMENDMENT**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449.

Copy(ies) of these references:

- ☐ Foreign Patent Applications, Foreign Patents and/or Other Non-Patent Documents are attached (Copies of cited U.S. Patents/Publications are not provided).
- ☒ Were filed in related application U.S. Serial No(s) 10/739,600, filed December 17, 2003, respectively.
- ☐ This document is being filed within three (3) months of the filing date of the application
- ☒ A check for the requisite fee of \$180 is enclosed.
- ☐ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE)
- ☐ This document is being filed prior to a first Office Action
- ☐ This document is accompanied by a Search Report/Communication cited in a corresponding PCT or foreign counterpart application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. **13-4500**, Order No. **4208-4030**. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: June 21, 2007

By: \_\_\_\_\_

Elliot L. Frank  
Registration No. 56,641

Correspondence Address:

Address Associated With Customer Number:  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10028032
Filing Date	2001-12-20
First Named Inventor	Kaisa KAUTTO-KOIVULA
Art Unit	2173
Examiner Name	Cao H. Nguyen
Attorney Docket Number	4208-4030

**U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6732331	B1	2004-05-04	Alexander	
	2	6434595	B1	2002-08-13	Suzuki, et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20050055639	A1	2005-03-10	Fogg	

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10028032
Filing Date	2001-12-20
First Named Inventor	Kaisa KAUTTO-KOIVULA
Art Unit	2173
Examiner Name	Cao H. Nguyen
Attorney Docket Number	4208-4030

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10028032
Filing Date	2001-12-20
First Named Inventor	Kaisa KAUTTO-KOIVULA
Art Unit	2173
Examiner Name	Cao H. Nguyen
Attorney Docket Number	4208-4030

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Elliot L. Frank/	Date (YYYY-MM-DD)	2007-06-21
Name/Print	Elliot L. Frank	Registration Number	56641

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**